



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231.

February 20, 2001 Judith A. Breaks
Date Judith A. Breaks

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants Tony N. Frudakis et al.
Application No. 09 699,295
Filed October 26, 2000
For COMPOSITIONS AND METHODS FOR THE THERAPY AND
DIAGNOSIS OF BREAST CANCER
Art Unit : 1642
Docket No. : 210121.419C10
Date : February 20, 2001

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated December 20, 2000, please find enclosed a Declaration, Election and Power of Attorney, a copy of the Assignment, and a copy of the Formalities Letter for the above-identified application.

The fees have been calculated as follows:

Basic Fee	\$	710
Total Claims (21, 1 extra)		18
Multiple Dependent Claim Surcharge		270
Missing Parts Surcharge		130
TOTAL	\$	1,128

02/26/2001 TGEDAMU1 00000078 09699295

01 FC:101	710.00 DP
02 FC:103	18.00 DP
03 FC:104	270.00 DP
04 FC:105	130.00 DP

Enclosed are a Form PTO SB 17 and a check in the amount of \$1,128 for the requisite fees. The Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this response is enclosed.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC



David W. Parker, Ph.D.

Registration No. 37,414

JEP:jab

701 Fifth Avenue, Suite 6300

Seattle, Washington 98104-7092

Phone: (206) 622-4900

Fax: (206) 682-6031 N: 210121 - Corixa 419c10 Forms 419C10-Respmispts.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20230
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09 699,295	10 26 2000	Tony N. Fridakis	210121.419C10

00500
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC
701 FIFTH AVE
SUITE 6300
SEATTLE, WA 98104-7092

FORMALITIES LETTER



OC000000005630711

Date Mailed: 12/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$288.
 - \$18 for 1 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1128.

02/26/2001 TGEDAMU1 00000078 09699295

01 FC:101
02 FC:103
03 FC:104
04 FC:105

710.00 OP
18.00 OP
270.00 OP
130.00 OP
copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Section 111

Approved for use through 10/1/2002 (USPTO Form 100)

FEE TRANSMITTAL for FY 2001

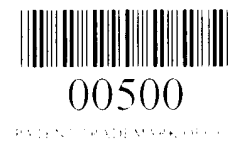
Patent fees are subject to annual revision

Complete if Known

App. Serial Number	09/699,295
Filing Date	October 26, 2000
App. Named Inventor	Tony N. Frudakis
Examiner Name	
Group Art Unit	1642
Attorney Docket No.	210121.419C10

METHOD OF PAYMENT		FEE CALCULATION																																																																																																																																																																																			
<p>1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 19-1090</p> <p>Deposit Account Name: Seed Intellectual Property Law Group PLLC</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>Applicant claims small entity status: <input type="checkbox"/> See 37 CFR 1.127</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>125</td> <td>125</td> <td>125</td> <td>62</td> <td>Surcharge - late filing fee (1st)</td> <td></td> </tr> <tr> <td>127</td> <td>127</td> <td>127</td> <td>63</td> <td>Surcharge - late filing fee (2nd)</td> <td></td> </tr> <tr> <td>135</td> <td>135</td> <td>135</td> <td>67</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>147</td> <td>147</td> <td>73</td> <td>For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>110</td> <td>900</td> <td>110</td> <td>450</td> <td>Requesting publication of S.R. prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1840</td> <td>113</td> <td>920</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>115</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>220</td> <td>116</td> <td>110</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>330</td> <td>117</td> <td>165</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>440</td> <td>118</td> <td>220</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>119</td> <td>550</td> <td>119</td> <td>275</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td>119</td> <td>155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>120</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>121</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1510</td> <td>138</td> <td>755</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>140</td> <td>55</td> <td>Petition to revise - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1240</td> <td>141</td> <td>620</td> <td>Petition to revise - contentious</td> <td></td> </tr> <tr> <td>142</td> <td>124</td> <td>142</td> <td>62</td> <td>Utility as written in press</td> <td></td> </tr> <tr> <td>143</td> <td>44</td> <td>143</td> <td>22</td> <td>Design fee</td> <td></td> </tr> <tr> <td>144</td> <td>50</td> <td>144</td> <td>25</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>65</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>130</td> <td>123</td> <td>65</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>90</td> <td>Submission of Information Disclosure Sheet</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>20</td> <td>Recording each patent assignment per property, times number of properties</td> <td></td> </tr> <tr> <td>146</td> <td>710</td> <td>146</td> <td>355</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>710</td> <td>149</td> <td>355</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>119</td> <td>710</td> <td>119</td> <td>355</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169</td> <td>900</td> <td>169</td> <td>450</td> <td>Request for expedited examination (design application)</td> <td></td> </tr> </tbody> </table> <p>Other fee (specify): _____</p> <p>*Reduced by Basic Filing Fee Paid: _____ SUBTOTAL 3: \$ _____</p>		Large Entity		Small		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	125	125	125	62	Surcharge - late filing fee (1st)		127	127	127	63	Surcharge - late filing fee (2nd)		135	135	135	67	Non-English specification		147	147	147	73	For filing a request for ex parte reexamination		110	900	110	450	Requesting publication of S.R. prior to Examiner action		113	1840	113	920	Requesting publication of SIR after Examiner action		115	110	115	55	Extension for reply within first month		116	220	116	110	Extension for reply within second month		117	330	117	165	Extension for reply within third month		118	440	118	220	Extension for reply within fourth month		119	550	119	275	Extension for reply within fifth month		119	310	119	155	Notice of Appeal		120	310	120	155	Filing a brief in support of an appeal		121	270	121	135	Request for oral hearing		138	1510	138	755	Petition to institute a public use proceeding		140	110	140	55	Petition to revise - unavoidable		141	1240	141	620	Petition to revise - contentious		142	124	142	62	Utility as written in press		143	44	143	22	Design fee		144	50	144	25	Plant issue fee		122	130	122	65	Petitions to the Commissioner		123	130	123	65	Petitions related to provisional applications		126	180	126	90	Submission of Information Disclosure Sheet		581	40	581	20	Recording each patent assignment per property, times number of properties		146	710	146	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	149	355	For each additional invention to be examined (37 CFR § 1.129(b))		119	710	119	355	Request for Continued Examination (RCE)		169	900	169	450	Request for expedited examination (design application)	
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SUBMITTED BY		Registration No.		37,414	
Name (Print Type)	David W. Parker, Ph.D.	Attorney, Agent			
Signature	<i>David W. Parker</i>	Date	February 20, 2001		



Please type a plus sign (+) inside this box.

Approved for use through 10/31/2002. DMB 0661-003.

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, the following information is required to be furnished by you in connection with this application.

TRANSMITTAL FORM

To be used for all correspondence after initial filing)

Application Number	09 699.295
Filing Date	October 26, 2000
First Named Inventor	Tony N. Frudakis
Group Art Unit	1642
Examiner Name	
Attorney Docket No.	210121.419C10

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers	<input type="checkbox"/> After Allowance
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> (for an Application)	<input type="checkbox"/> Communication to Group
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to
<input type="checkbox"/> After Final	<input type="checkbox"/> General Authorization under	<input type="checkbox"/> Board of Appeals and
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> 37 C.F.R. § 1.36(a)(3)	<input type="checkbox"/> Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to
<input type="checkbox"/> Express Abandonment	<input type="checkbox"/> Petition	<input type="checkbox"/> Group (Appeal Notice, Brief,
<input type="checkbox"/> Request	<input type="checkbox"/> Petition to Convert to a	<input type="checkbox"/> Reply Brief)
<input type="checkbox"/> Information Disclosure	<input type="checkbox"/> Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Statement	<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Certified Copy of Priority	<input type="checkbox"/> Revocation Change of	<input checked="" type="checkbox"/> Additional Enclosure(s)
<input type="checkbox"/> Document(s)	<input type="checkbox"/> Correspondence Address	<input type="checkbox"/> (please identify below):
<input checked="" type="checkbox"/> Response to Missing	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Declaration; Election/Power of
<input type="checkbox"/> Parts/Incomplete Application	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Attorney; copy of Assignment;
<input checked="" type="checkbox"/> Response to Missing	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> copy of Formalities Letter
<input type="checkbox"/> Parts under 37 C.F.R.	<input type="checkbox"/> CD(s), Number	
<input type="checkbox"/> 1.52 or 1.53	<input type="checkbox"/> of CD(s)	

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name/ Firm Name David W. Parker, Ph.D.



00500

PATENT/TRADEMARK OFFICE

Signature

David W. Parker

Date

February 20, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name

Judith A. Breaks

Signature

Judith A. Breaks

Date: February 20, 2001